

FORM NO. MGT 9

EXTRACT OF ANNUAL RETURN

As on financial year ended on 31.03.2019

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:	
1	CIN U27100MH1980PTC022322
2	Registration Date 11/03/1980
3	Name of the Company ASSOCIATED SMELTERS PRIVATE LIMITED
4	Category/Sub-category of the Company Company limited by shares Indian Non-Government Company
5	Address of the Registered office & contact details W-60/N 7 TTC-M I D C AREATHANE BELAPUR ROAD, RABALE, MUMBAI, MAHARASHTRA 400701, INDIA
6	Whether listed company Unlisted
7	Name, Address & contact details of the Registrar & Transfer Agent, if any. NA

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Manufacture of basic chemical elements	20116	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

SN	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1					

IV. SHARE HOLDING PATTERN

(Equity share capital breakup as percentage of total equity)

(i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year [As on 1-April-2018]				No. of Shares held at the end of the year [As on 31-March-2019]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF	-	80,190	80,190	94.26%	-	79,690	79,690	93.67%	0.59%
b) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Any other	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub Total (A) (1)	-	80,190	80,190	94.26%	-	79,690	79,690	93.67%	0.00%
(2) Foreign									
a) NRI Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Other Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Any other	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub Total (A) (2)	-	-	-	0.00%	-	-	-	0.00%	0.00%
TOTAL (A)	-	80,190	80,190	94.26%	-	79,690	79,690	93.67%	0.00%
B. Public									
1. Institutions									
a) Mutual Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Insurance	-	-	-	0.00%	-	-	-	0.00%	0.00%
g) FIs	-	-	-	0.00%	-	-	-	0.00%	0.00%
h) Foreign Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
i) Others (specify)	-	-	-	0.00%	-	-	-	0.00%	0.00%

Sub-total (B)(1):-	-	-	-	-	0.00%	-	-	-	0.00%	-	0.00%
2. Non-Institutions											
a) Bodies Corp.	-	-	-	-							
i) Indian	-	-	-	-	0.00%	-	-	-	0.00%	-	0.00%
ii) Overseas	-	-	-	-	0.00%	-	-	-	0.00%	-	0.00%
b) Individuals	-	-	-	-							
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	-	4,885	4,885	-	5.74%	5,385	5,385	5,385	6.33%	5,385	0.00%
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	-	0.00%	-	-	-	0.00%	-	0.00%
c) Others (specify)	-	-	-	-							
Non Resident Indians	-	-	-	-	0.00%	-	-	-	0.00%	-	0.00%
Overseas Corporate Bodies	-	-	-	-	0.00%	-	-	-	0.00%	-	0.00%
Foreign Nationals	-	-	-	-	0.00%	-	-	-	0.00%	-	0.00%
Clearing Members	-	-	-	-	0.00%	-	-	-	0.00%	-	0.00%
Trusts	-	-	-	-	0.00%	-	-	-	0.00%	-	0.00%
Foreign Bodies - D R	-	-	-	-	0.00%	-	-	-	0.00%	-	0.00%
Sub-total (B)(2):-	-	4,885	4,885	-	5.74%	5,385	5,385	5,385	6.33%	5,385	0.00%
Total Public (B)	-	4,885	4,885	-	5.74%	5,385	5,385	5,385	6.33%	5,385	0.00%
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	0.00%	-	-	-	0.00%	-	0.00%
Grand Total (A+B+C)	-	85,075	85,075	-	100.00%	85,075	85,075	85,075	100.00%	85,075	0.00%

(ii) Shareholding of Promoter

SN	Shareholder's Name	Shareholding at the beginning of the year	Shareholding at the end of the year	% change in shareholding

	No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	during the year	
1	JAYPRAKASH BHASKARRAO TIPNIS	59,905	70.41%	0	59,905	70.41%	0	0.00%
2	RASHMI JAYPRAKASH TIPNIS	19,785	23.26%	0	19,785	23.26%	0	0.00%
3	SAGAR JAYPRAKASH TIPNIS	500	0.59%	0	500	0.59%	0	0.00%

(iii)(a) Change in Promoters' Shareholding (please specify,

NO CHANGE

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
	At the beginning of the year	01/04/2018					
	Changes during the year						
	At the end of the year	31/03/2019					

(iv) Shareholding Pattern of top ten Shareholders

(Other than Directors, Promoters and Holders of GDRs and ADRs):

SN	For each of the Top 10 shareholders	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Madhavi S Tipnis						
	At the beginning of the year	01/04/2018		700	0.82%	700	0.82%
	Changes during the year				0.00%		0.00%
	At the end of the year	31/03/2019		700	0.82%	700	0.82%
2	Tanmay J Tipnis						
	At the beginning of the year	01/04/2018		500	0.59%	500	0.59%
	Changes during the year				0.00%		0.00%
	At the end of the year	31/03/2019		500	0.59%	500	0.59%
3	Prakash B Tipnis						

	At the beginning of the year	01/04/2018			2,640	3.10%	2,640	3.10%
	Changes during the year					0.00%		0.00%
	At the end of the year	31/03/2019		2,640		3.10%	2,640	3.10%
4	Anjali P Tipnis							
	At the beginning of the year	01/04/2018		100		0.12%	100	0.12%
	Changes during the year					0.00%		0.00%
	At the end of the year	31/03/2019		100		0.12%	100	0.12%
5	Chhaya P Korde							
	At the beginning of the year	01/04/2018		250		0.29%	250	0.29%
	Changes during the year					0.00%		0.00%
	At the end of the year	31/03/2019		250		0.29%	250	0.29%
6	Sandhya D Karnik							
	At the beginning of the year	01/04/2018		100		0.12%	100	0.12%
	Changes during the year					0.00%		0.00%
	At the end of the year	31/03/2019		100		0.12%	100	0.12%
7	Arun B Mokashi							
	At the beginning of the year	01/04/2018		25		0.03%	25	0.03%
	Changes during the year					0.00%		0.00%
	At the end of the year	31/03/2019		25		0.03%	25	0.03%
8	Vikas B Gupte							
	At the beginning of the year	01/04/2018		100		0.12%	100	0.12%
	Changes during the year					0.00%		0.00%
	At the end of the year	31/03/2019		100		0.12%	100	0.12%
9	Sachchidana B Bagwe							
	At the beginning of the year	01/04/2018		470		0.55%	470	0.55%
	Changes during the year					0.00%		0.00%
	At the end of the year	31/03/2019		470		0.55%	470	0.55%

(v) Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	JAYPRAKASH BHASKARRAO TIPNIS						
	At the beginning of the year	01/04/2018		59,905	70.41%	59,905	70.41%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31/03/2019		59,905	70.41%	59,905	70.41%
2	RASHMI JAYPRAKASH TIPNIS						
	At the beginning of the year	01/04/2018		19,785	23.26%	19,785	23.26%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31/03/2019		19,785	23.26%	19,785	23.26%

3	SAGAR JAYPRAKASH TIPNIS						
	At the beginning of the year	01/04/2018		500	0.58%	500	0.58%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31/03/2019		500	0.58%	500	0.58%

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

Particulars	(Amt. Rs./Lacs)		
	Secured Loans excluding deposits	Unsecured Loans	Deposits
Indebtedness at the beginning of the financial year			
i) Principal Amount	-	-	-
ii) Interest due but not paid	-	-	-
iii) Interest accrued but not due	-	-	-
			Total Indebtedness

Total (i+ii+iii)	-	-	-
Change in Indebtedness during the financial year			
* Addition	-	-	-
* Reduction	-	-	-
Net Change	-	-	-
Indebtedness at the end of the financial year			
i) Principal Amount	-	-	-
ii) Interest due but not paid	-	-	-
iii) Interest accrued but not due	-	-	-
Total (i+ii+iii)	-	-	-

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration		Name of MD/WTD/ Manager	Total Amount (Rs/Lac)
	Name	Designation		
1	Gross salary	Jayprakash Bhaskarrao Tipnis	Managing Director	480,000
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961			-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961			-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961			-
2	Stock Option			-
3	Sweat Equity			-
4	Commission			-
	- as % of profit			-
	- others, specify			-
5	Others, please specify			-
	Total (A)			480,000
	Ceiling as per the Act			

B. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration		Name of MD/WTD/ Manager	Total Amount (Rs/Lac)
	Name	Designation		
	Sagar Jayprakash Tipnis	Managing Director		

	Designation	Director	447,600
1	Gross salary		-
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961		-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961		-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961		-
2	Stock Option		-
3	Sweat Equity		-
4	Commission - as % of profit - others, specify		-
5	Others, please specify		-
	Total (A)	-	447,600
	Ceiling as per the Act		

C. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount (Rs/Lac)
		Name	Designation	
1	Gross salary	Rashmi Jayprakash Tipnis	Director	420,000
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961			-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961			-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961			-
2	Stock Option			-
3	Sweat Equity			-
4	Commission - as % of profit - others, specify			-
5	Others, please specify			-
	Total (A)		-	447,600
	Ceiling as per the Act			

SN.	Particulars of Remuneration		Name of Key Managerial Personnel		Total Amount (Rs/Lac)
	Name	Designation			
1	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax (c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	CEO	CFO	CS	-
2	Stock Option				-
3	Sweat Equity				-
4	Commission - as % of profit - others, specify				-
5	Others, please specify				-
	Total				-

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty					
Penalty					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					

Penalty							
Punishment							
Compounding							

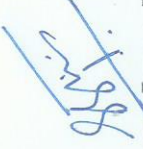
On the behalf of Board of Director

Associated Smelters Private Limited

5th September, 2019
Thane



Jayprakash Bhaskarrao Tipnis
Managing Director
DIN: 01811156



Sagar Jayprakash Tipnis
Director
DIN: 00021393